



Attorney's Docket No.: 09857-054001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom et al.

Art Unit : 3628

Serial No. : 09/758,967

Examiner : Nguyen, Nga B

Filed : January 11, 2001

Title : ARBITRAGE OF TRACKING SECURITIES

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GROUP 3600

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT IN REPLY TO ACTION OF JULY 9, 2004

Please amend the above-identified application as follows:

11/10/2004 JBALINAN 00000090 09758967

02 FC:1201

88.00 OP

03 FC:1202

162.00 OP

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 8, 2004

Date of Deposit

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